

Effect of α -Thalassemia and β -Globin Gene Cluster Haplotypes on the Hematological and Clinical Features of Sickle-Cell Anemia in Brazil

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To compare the features of sickle-cell anemia in Brazil with those in other locales, we studied the effects of the β -globin-like gene cluster haplotype and α -thalassemia upon the clinical and hematological features in 85 patients. The distribution of haplotypes differed from that in the United States and Jamaica. The Central African Republic (CAR) haplotype predominated; 34% of patients were CAR haplotype homozygotes, 45% CAR/Benin homozygotes, and 11% Benin homozygotes. No Senegal haplotype chromosomes were observed. α -thalassemia was present in 17.5% of patients. HbF levels were higher in Benin homozygotes, compared with the other two groups ($P < 0.05$). Nearly half the patients with a CAR haplotype had leg ulcers, compared to 12.5% of the Benin homozygote group; stroke did not occur in α -thalassemia carriers, but neither result was statistically significant. As in other studies, our results indicate that the CAR haplotype may be associated with more severe disease. © 1996 Wiley-Liss, Inc.

Key words: sickle-cell disease, clinical features, hemoglobin S, haplotypes, α -thalassemia

INTRODUCTION

Clinical manifestations of sickle-cell anemia, the homozygous state for hemoglobin S (HbS), vary according to the geographical locations of the populations studied [1-3]. Several lines of evidence suggest that the clinical and hematological expression of sickle-cell anemia may be modified by other genetic determinants. These include α -thalassemia and modulators of fetal hemoglobin concentrations [4-6].

An array of polymorphisms linked to the β^S mutation, called haplotypes, has provided important anthropological information regarding the multiple origins of the Hb S gene [7,8]. There are at least four different major β^S haplotypes, named according to the regions of their high frequency: Central African Republic (CAR or Bantu), Benin, Senegal, and Saudi-Arabian types. The β^S -globin gene cluster haplotype may be associated with special hematological and clinical features of sickle-cell anemia [9-12]. The report of Powars [10] suggested that the

CAR haplotype was a major risk factor associated with clinically severe sickle-cell anemia and organ damage. However, the possible role of β^S haplotypes is controversial, since other work suggested that in adults, haplotype had little bearing on the clinical and hematological picture of sickle-cell anemia [11,12].

The β^S haplotype distribution in Brazil is distinct from that of the USA and Jamaica, where most studies of the association of haplotypes and clinical expression have been done [13,14]. To compare the features of sickle-cell anemia in Brazil with those in other locales, we studied the effects of β -globin gene cluster haplotype and α -thalassemia upon the clinical and hematological features of 85 patients with sickle-cell anemia from Brazil.

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TABLE I. Distribution of Chromosomes Bearing Various Haplotypes

Haplotypes	Number of chromosomes	Percentage of each haplotype	
		Brazil (present study)	USA [12]
CAR	105	61.76	15.9
Benin	59	34.71	50.0
Senegal	0	0	8.0
Others	6	3.53	26.1
Total	170	100	100

MATERIAL AND METHODS

Patients

Blood samples for hematological studies and DNA analysis were obtained from 85 patients with sickle-cell anemia from the University Hospital at the State University of Campinas (UNICAMP), and from the University Hospital of the Escola Paulista de Medicina, São Paulo. The diagnosis was based on clinical and laboratory findings. The hematological parameters were determined in an electronic cell-counter. Hemoglobin electrophoresis was performed on cellulose acetate strips with Tris-EDTA-boric acid, pH 8.9, and on agar gels with citrate buffer, pH 6.1. Presence of HbS was confirmed by a low solubility in 2.35 M phosphate buffer containing dithionite. HbA₂ was measured by elution from cellulose acetate strips following electrophoresis, and HbF was measured by alkali denaturation [15]. Family studies were carried out in most of the cases.

DNA Analysis for α -Thalassemia

High molecular weight DNA was isolated from peripheral blood leukocytes with proteinase K or urea, using standard procedures [16]. DNA (10 l) from each sample was digested with *Bam*HI and *Bgl*II (Pharmacia, Uppsala, Sweden) according to the manufacturer's instructions, subjected to horizontal electrophoresis in 0.8% agarose, and transferred to a nylon filter, as described by Southern [17].

The α -globin gene probe was a 1.5-kb *Pst*I genomic fragment containing the α -globin gene, and hybridization was carried out as previously described [18].

Haplotype Determination

Haplotype was determined by polymerase chain reaction (PCR) amplification of the region of the polymorphic site, followed by digestion with the appropriate enzyme. The following restriction sites were analysed: *Xmn*I at position -158 5' to the γ^G gene, *Hind*III in IVS 2 of the γ^G and γ^A globin genes, *Hinc*II in the $\psi\beta$ gene and at 3' to the $\psi\beta$ gene, and *Hinf*II at 5' to the β gene. The primers and conditions utilized were described by Sutton et al. [19]. Family studies were not done; where there was heterozygosity for two different haplotypes, as previously

described, it was assumed that a common haplotype was present, with one rare haplotype rather than two rare haplotypes [7,11]. In most patients, identification of β^s haplotypes was also based on mutations in the promoter sequences of the γ^G - and γ^A -globin genes. These differences are also specific for the various β^s haplotypes [13].

Clinical Data

Selected aspects of patients' clinical courses that might reflect the severity of vasoocclusive episodes, and that were less susceptible to a subjective interpretation than pain episodes, were correlated with α -globin gene status and haplotype determinations. The presence of leg ulcers was always confirmed by one of the authors. The occurrence of aseptic necrosis of the hips and gallstones was assessed, respectively, by X-ray and ultrasound examination. The presence of cerebrovascular accidents (CVA) was determined by unequivocal clinical or CT scan findings.

Statistical Methods

To compare the hematological and clinical features among patients with different haplotypes and different numbers of α -globin genes, we did analysis of variance (Kruskal-Wallis) followed by linear contrast comparisons (Dunn's test). Differences in leg ulcers, aseptic necrosis of bone, gallstones, and CVA were analyzed by chi-square analysis or Fisher's exact test wherever appropriate [20]. Quantitative results are expressed as mean \pm 1 SD, with frequency results in percentages.

RESULTS

Eight-five patients homozygous for hemoglobinopathy S were included in this study. There were 50 females and 35 males; their ages ranged from 4-49 years (21.9 ± 10.0). Analysis of α -globin gene deletions revealed that 15 patients (17.6%) were heterozygous and one was homozygous (1.17%) for α -thalassemia-2 (3.7-Kb deletion). This prevalence is similar to that of studies in other populations [5,21,22], although Pagnier et al. [21], studying African patients, noted regional variations in the prevalence of α -globin gene deletions.

TABLE II. Prevalence of α -Thalassemia Among Different Haplotypes*

Haplotypes	$\alpha\alpha/\alpha\alpha$ (n)	$\alpha-/\alpha\alpha$ (n)	$\alpha-/\alpha-$ (n)	Total
CAR/CAR	22 (70.9%)	8 (25.8%)	1 (3.3%)	31
CAR/Benin	32 (84.3%)	6 (15.7%)	0 (0%)	38
Benin/Benin	10 (100%)	0 (0%)	0 (0%)	10
CAR/?	4 (80%)	1 (20%)	0 (0%)	5
Benin/?	1 (100%)	0 (0%)	0 (0%)	1
Total	69 (81.1%)	15 (17.6%)	1 (1.1%)	85

*n, number of patients.

There were 31 subjects with CAR/CAR (36.5%), 38 with CAR/Benin (44.7%), 10 with Benin/Benin (11.7%), 5 with CAR/atypical (5.8%), and 1 with Benin/atypical (1.2%) haplotypes. The Senegal haplotype was not observed; nor was the Saudi-Arabian haplotype. Percentages of chromosomes bearing each haplotype are shown in Table I. The prevalence of haplotypes varies in patients resident in different regions of Brazil, reflecting differences in the origins of slaves brought to this country [14].

The α -thalassemia distribution among the various haplotypes is shown in Table II. There were no patients with a Benin haplotype and α -thalassemia. This may be indicative of a higher prevalence of thalassemia in CAR haplotype patients, as shown by Castillo et al. [22] and de Montalembert et al. [12]. However, this difference was not statistically significant.

Some of the hematological data for these groups are shown in Table III. We observed a significant difference ($P < 0.05$) in hemoglobin concentration between patients with and without α -gene deletion, although no difference was found when we compared the hemoglobin concentration among the various haplotypes. The same effect was observed in the distribution of mean corpuscular volume (MCV) values. No differences in HbF were noted in patients with and without α -thalassemia. There was a statistically significant difference ($P < 0.05$) in HbF levels in CAR and Benin haplotypes. The HbA₂ was similar in all groups (data not shown).

Clinical observations, which were available for all patients, are shown in Table IV. There were no statistically significant differences among the groups in the clinical features examined.

DISCUSSION

Brazil, a large continental country, has an interesting distribution of hemoglobinopathies. This follows from the influx of many different immigrant groups, and from the slave trade of the eighteenth and nineteenth centuries [13]. This varied genetic background makes the study of sickle-cell anemia in Brazil of special interest, and raises the possibility of achieving additional insights into the genetic modulation of this disease.

Consonant with other work, analysis of our laboratory

TABLE III. Hematologic Values and Fetal Hemoglobin: Interaction of α -Thalassemia-2 With β^s -Gene-Cluster Haplotypes

	$\alpha\alpha/\alpha\alpha$	$\alpha-/\alpha\alpha$
Age (years)		
All	21.4 \pm 9.6	24.2 \pm 11.8
CAR/CAR	22.9 \pm 9.3	27.5 \pm 9.8
CAR/Benin	20.3 \pm 8.8	22 \pm 13.7
Benin/Benin	21.1 \pm 11.9	
Hb (g/dl)		
All	7.6 \pm 1.2	8.6 \pm 1.07*
CAR/CAR	7.6 \pm 1.2	8.7 \pm 1.2*
CAR/Benin	7.7 \pm 1.3	8.3 \pm 0.7
Benin/Benin	7.7 \pm 1.1	
HbF (%)		
All	6.6 \pm 4.1	5.1 \pm 3.8
CAR/CAR	4.9 \pm 2.9	2.8 \pm 2.3
CAR/Benin	7.3 \pm 4.7*	6.5 \pm 3.9
Benin/Benin	8.3 \pm 3.0*	
HbF (g/dl)		
All	0.52 \pm 0.37	0.43 \pm 0.30
CAR/CAR	0.37 \pm 0.22	0.32 \pm 0.28
CAR/Benin	0.60 \pm 0.47*	0.53 \pm 0.29
Benin/Benin	0.64 \pm 0.21*	

* $P < 0.05$

data showed that patients with α -thalassemia-2 trait had a significant increase in hemoglobin concentration and reduction in MCV [5,22,23]. Also concordant with prior studies, there was no significant difference in HbF levels among the α -globin genotypes [24–26]. Previous work has shown a relationship between α -thalassemia and the hematological and some clinical features of sickle-cell disease [27,28]. The prevalence of CVA was higher in patients with sickle-cell anemia without α -thalassemia. As suggested by Piomelli et al. [29] and Adams et al. [30], α -thalassemia may exert a protective effect against stroke in patients with sickle-cell anemia. While there was no effect of concurrent α -thalassemia on the occurrence of gallstones or osteonecrosis, leg ulcers were less frequent in those with an α -thalassemia gene. Other investigators have also made this observation [5,23,31].

β -globin gene haplotypes did not appear to influence hemoglobin concentration or selected clinical manifestations of sickle-cell anemia. Perhaps this was due to the absence in this series of patients of either the Senegal or

TABLE IV. Clinical Findings and Interaction Between α -Thalassemia-2 and β^S -Gene-Cluster Haplotypes

	$\alpha\alpha/\alpha\alpha$ present (%)	N	$\alpha\alpha/\alpha\alpha$ present (%)	N
Cerebrovascular accidents				
All	4 (6.2)	64	0 (0)	14
CAR/CAR	2 (9.09)	22	0 (0)	8
CAR/Benin	1 (3.13)	32	0 (0)	6
Benin/Benin	1 (10)	10		
Gallstones				
All	28 (57.1)	49	5 (45.4)	11
CAR/CAR	12 (70.5)	17	4 (66.6)	5
CAR/Benin	13 (48.1)	27	1 (16.6)	6
Benin/Benin	3 (60)	5		
Aseptic necrosis				
All	7 (17.9)	39	2 (20)	10
CAR/CAR	2 (15.3)	13	1 (20)	4
CAR/Benin	5 (22.7)	22	1 (16.6)	6
Benin/Benin	0 (0)	4		
Leg ulcers				
All	23 (43.3)	53	3 (25)	12
CAR/CAR	7 (41.1)	18	2 (22.2)	8
CAR/Benin	15 (62.5)	27	1 (25)	4
Benin/Benin	1 (12.5)	8		

SA haplotypes. Others have reported that with the higher levels of HbF which occur in carriers of Senegal or SA haplotypes, there is less hemolysis, along with higher blood hemoglobin concentration [8,32]. This may also be influenced by gender [31]. CAR haplotype was accompanied by higher prevalence of leg ulcers, compared to Benin haplotype homozygotes [23,33]. Yet we did not find the relationship between CAR haplotype and disease severity shown by Powars et al. [10].

As previously shown by Schroeder et al. [23], mean values of HbF were lower in CAR haplotype homozygotes than in Benin haplotype homozygotes or in those with CAR/Benin haplotypes. The lower values of HbF in CAR haplotype patients should be associated with more severe clinical features of sickle-cell disease [8,34]. We were unable to demonstrate significant differences in frequencies of clinical complications between those with CAR and those with Benin haplotypes. However, our failure to demonstrate such differences may have been due to the small number of Benin haplotype homozygotes in this series.

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